



The Emergency Operations Plan

Presented by
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St. Louis Missouri 5/4/17

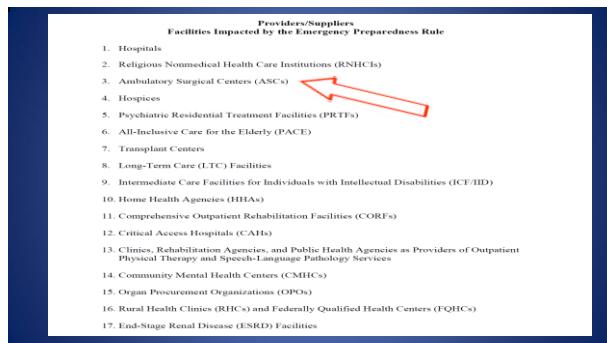


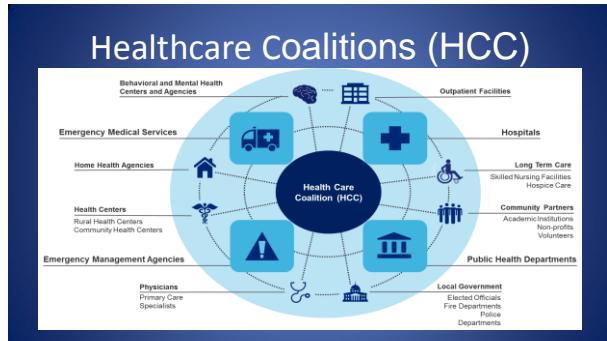
Objectives

- Know imminent deadline
- Understand how to complete a HVA
- What goes into an EOP
- How does an EOP differ from P&P's
- What are the training and testing requirements









Federal Register

- Ambulatory Surgical Centers (ASCs) – section 1832 (a) (2) (F)(i) of the Act and 42 CFR 416.2 and 416.40 through 416.52.

Vo. 81, No. 180 Sept, 16, 2016

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
7500 Security Boulevard, Mail Stop C2-21-16
Baltimore, Maryland 21244-3850



Center for Clinical Standards and Quality/Survey & Certification Group

Ref: S&C 17-05-ALL

DATE: October 28, 2016
TO: State Survey Agency Directors
FROM: Director
SUBJECT: Survey and Certification Group
 Information on the Implementation Plans for the Emergency Preparedness Regulation

Memorandum Summary

- **Information for Implementation:** The Centers for Medicare & Medicaid Services (CMS) Survey and Certification Group is providing this memorandum regarding the implementation for the Emergency Preparedness Rule. The information addresses the implementation date for providers and suppliers, the development of Interpretive Guidelines (IGs), surveyor training, and resources available to assist in the implementation of this regulation.
- **Affects all 17 providers and suppliers:** The regulation affects all 17 providers and suppliers and must be fully implemented by **November 15, 2017**.

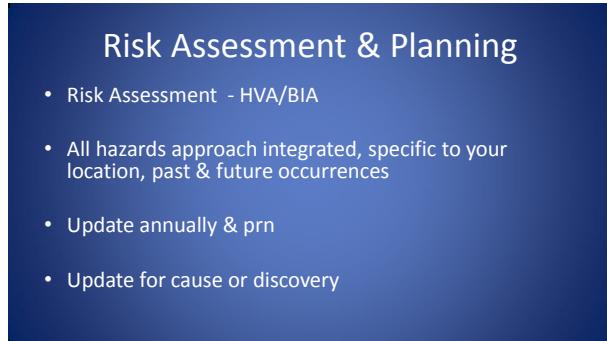
Appendix L

State Operations Manual

Appendix L - Guidance for Surveyors: Ambulatory Surgical Centers

Table of Contents
(Rev. 137, 04-01-15)





EVENT	PROBABILITY	SEVERITY = (MAGNITUDE - MITIGATION)						RISK
		HUMAN IMPACT	PROPERTY IMPACT	BUSINESS IMPACT	PREPAREDNESS	INTERNAL RESPONSE	EXTERNAL RESPONSE	
Likelihood this will occur		Impact of event						Relative threat*
Score								
Hurricane	1	1	1	1	1	1	1	0 - 100%
Tornado	1	2	2	2	2	2	2	0%
Severe Thunderstorm								0%
Snow Fall								0%
Blizzard								0%
Ice Storm								0%
Earthquake								0%
Tidal Wave								0%
Tsunami								0%
Extreme Weather Extremes								0%
Drought								0%
Flood, External								0%
Wildland Fire								0%
Landslide								0%
Dam Inundation								0%
Volcano								0%
Epidemic								0%
AVERAGE SCORE	0.00	0.00	0.00	0.00	0.00	0.00	0.00	9%

*Threat increases with percentage

HAZARD AND VULNERABILITY ASSESSMENT TOOL										
NATURALLY OCCURRING EVENTS										
EVENT	PROBABILITY	SEVERITY X (MAGNITUDE - MITIGATION)								RISK
		HUMAN IMPACT	PROPERTY IMPACT	BUSINESS IMPACT	INTERFERENCE	NECESSITY	INTERNAL RESPONSE	EXTERNAL RESPONSE		
Likelihood this year	Possibility of impact	Physical losses	Financial losses	Interruption of business	Preplanning	Effectiveness	Internal staff	External staff	Relative threat	
SCORE	0-4 Risk	0-4 Risk	0-4 Risk	0-4 Risk	0-4 Risk	0-4 Risk	0-4 Risk	0-4 Risk	0 - 100%	
Hurricane	0	0	0	0	0	0	0	0	0%	
Tornado	3	2	3	3	1	1	1	1	81%	
Severe Thunderstorm	2	1	1	1	1	1	1	1	22%	
Snow Fall	2	1	1	2	1	1	1	1	25%	
Blizzard	1	1	1	1	1	1	1	1	11%	
Ice Storm	2	2	2	2	2	2	2	2	100%	
Earthquake	1	1	1	1	1	1	1	1	11%	
Tidal Wave	0	0	0	0	0	0	0	0	0%	
Temperature Extremes	1	1	1	1	1	1	1	1	11%	
Drought	1	1	1	1	1	1	1	1	11%	
Flood, External	2	1	1	2	1	1	1	1	25%	
Flood, Internal	1	1	1	1	1	1	1	1	11%	
Landslide	0	0	0	0	0	0	0	0	0%	
Dam Inundation	0	0	0	0	0	0	0	0	0%	
Volcano	0	0	0	0	0	0	0	0	0%	
Volcanic Ash	0	0	0	0	0	0	0	0	0%	
AVERAGE SCORE	0.86	0.81	0.81	1.09	0.69	0.69	0.69	0.69	8%	

Risk Assessments

- Natural Hazards
- Technological Hazards
- Human Hazards
- Hazardous Materials



Policies & Procedures

- Based upon the HVA
- In depth look at full range of services, all possibilities
- Review and update at least annually

Policies & Procedures

- Earthquakes
- Tornadoes
- Hurricane
- Flooding
- Facility Evacuation
- Fires
- Cyber Security Attack
- Violence in the Workplace
- Infectious Disease Outbreak
- Active Shooter
- Bomb Scare
- Loss of Water, Electric etc.



Surgery Center XYZ

EMERGENCY OPERATIONS PLAN

Emergency Operations Plan EOP

- Based on the HVA
- Follows Federal and State laws
- Coordination
- Update at least annually

EOP

- Declarations
- Introduction
- Emergency Plan
- Policies & Procedures
- Communications
- Training
- Testing
- Exhibits
- Situational Risk Annexes



Declaration

1. Date Reviewed 2/21/17
2. Date Approved 2/21/17
3. Date sent to Local and State Departments
2/22/17

Introduction

- Demographic Information
- Construction Information
- Contact Information for facility
- Contact information for plan



Emergency Plan

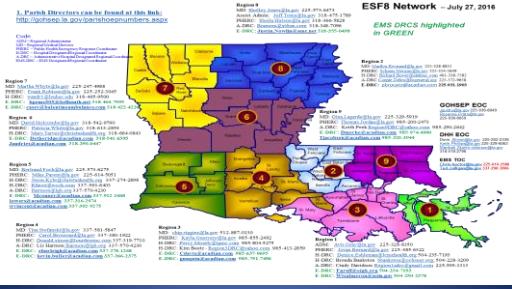
- Risk Assessment – Exhibit 3
- Command and Control – Organizational Chart
Exhibit 4
- Back up plan- Delegation of Authority
Exhibit 5

Authorities

Key Personnel and Orders of Succession

Essential Function	Primary	Successor 1	Successor 2	Successor 3
DIRECT OPERATIONS	DON	OR MANAGER	MEDICAL DIRECTOR	SURGEON
COMMUNICATION	ADMINISTRATOR	MEDICAL DIRECTOR	DON	POST OF TEAM LEADER
STAFF/PT TRACKING	RECEPTIONIST	STERILE PROCESSING	SCRUB TECH	RN
UTILITY ASSESSMENT	BOM	RN	C-ARM TECH	RN

Outside Authorities



Policies & Procedures

- Tracking of patients, staff and visitors
- Facility Lockdown
- Shelter in Place (SIP)
- Evacuation
- Suspension of Services
- Documentation

Communications

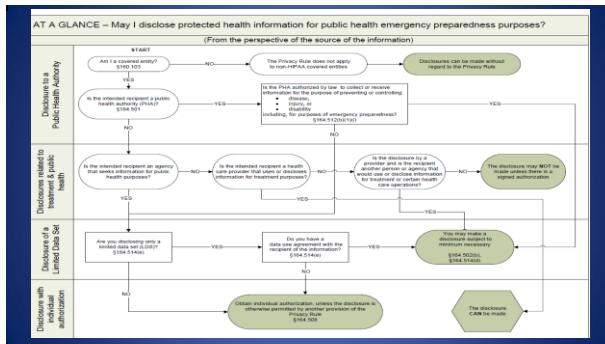
Procedures & operations



- Communications
 - Telephone
 - Back-up systems
 - Radio (VHF/800)
 - Satellite phone
 - Walkie – Talkies
 - HAM radio
 - Fax

Communication

- Internal
- External
- Patients & Visitors
- Healthcare Providers



Training & Testing

- At hire – P&P
- Annually – Demonstrate competency
- Drills & Exercises – per CCN Number
- Is not a calendar year

DEADLINE 11/15/17

Drills

Participation in a full-scale exercise that is community-based or when a community-based exercise is not accessible, an individual, facility-based exercise.

Full-Scale

A full scale exercise is a multi-agency, multijurisdictional, multi-discipline exercise involving functional (for example, joint field office, emergency operation centers, etc.) and/or “boots on the ground” response (for example firefighters decontaminating mock victims) .

Community

- Within a state
- Multi-state
- Integrated approach
- Healthcare coalitions



Drills

Conduct an additional exercise that may include, but is not limited to the following:

- A second full-scale exercise that is individual, facility-based.
- A tabletop exercise that includes a group discussion led by a facilitator, using a narrated, clinically-relevant emergency scenario, and a set of problem statements, directed messages, or prepared questions designed to challenge an emergency plan.

Tabletop Exercise (TTX)

- Leader (facilitator)
- Group Discussion
- Simulated Scenarios
- Clinically relevant emergency

CMS Suggested Exercises

- Earthquakes
- Tornados
- Hurricane
- Flooding
- Fires
- Cyber Security Attack
- Power-outage
- Active Shooter

EVENT	Probability	HAZARD AND VULNERABILITY ASSESSMENT TOOL								RISK
		HAZARD	LIKELIHOOD	IMPACT	NUMBER	PERCENT	PERCENT	PERCENT	PERCENT	
All-Hazards	1	1	1	1	1	1	1	1	1	100%
Earthquake	2	2	2	2	2	2	2	2	2	4%
Tornado	2	2	2	2	2	2	2	2	2	4%
Hurricane	2	2	2	2	2	2	2	2	2	4%
Flood	2	2	2	2	2	2	2	2	2	4%
Fire	2	2	2	2	2	2	2	2	2	4%
Cyber	2	2	2	2	2	2	2	2	2	4%
Power	2	2	2	2	2	2	2	2	2	4%
Active Shooter	2	2	2	2	2	2	2	2	2	4%
Total	8	8	8	8	8	8	8	8	8	100%
Overall Score	100	241	241	240	240	240	240	240	240	240

SUMMARY

1. HVA
2. P&P
3. Plan
4. Coordination
5. Drills



Additional Help

<https://www.cms.gov/Medicare/Provider-Enrollment-and-Certification/SurveyCertEmergPrep/index.html>

EOP



Final thoughts....questions?

EOP

Please email your questions and comments to:

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